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Yuen, et al.		412746			
Filing Date	Examiner	Group Art Unit			
September 25, 2003	Not Yet Assigned	2859			
	Yuen, et al. Filing Date September 25, 2003	Filing Date Examiner			

Invention Voice-Commanded Alarm Clock System, And Associated Methods

I hereby certify that the following: Transmittal Form (1 page); Information Disclosure Statement (2 page); Form 1449 (1 page), Copy of Four References; and return post card are being mailed in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 22nd day of June, 2005.

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TRANSPITTAL		Application Number 10/670,686					
OFERANSMITTAL		Filing Date		Septembe	r 25, 2003		
O' ESORM		First Named Inven	tor	Wai Man Y	'uen		
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to be used for all correspondence after initial filing)			Examiner Name	=			
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		ENCLO	SURES (check all tha	t apply)			
Fee Transmittal Form		Drawing(s			After Allowance Communication to TC		
Fee Attached		Licensing	-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Petition			Appeal C	Communication to TC lotice, Brief, Reply Brief)	
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Typed or printed name MiMi Nguyen					Date	June 22, 2005	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT

Attorney Docket No.: 412746

Express Mail Label No.: EV206746493US

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Wai Man Yuen

Serial No. 10/670,686

Filed September 25, 2003

For Voice-Commanded Alarm Clock

Examiner

Group Art No. 2859

Confirmation No. 8385

System, And Associated Methods

June 22, 2005

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

X	under 37 CFR 1.97(b), or (Within three months of filing national application, or date of entry of national application, or before mailing date of first office action on the merits, whichever occurs last)
	under 37 CFR 1.97(c) together with either a: ☐ Statement under 37 CFR 1.97(e), or ☐ a \$180 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before final action or notice of allowance, which ever occurs first)
	under 37 CFR 1.97(d) together with a: ☐ Statement under 37 CFR 1.97(e)(1) or (2), and ☐ a \$180.00 fee set forth in 37 CFR 1.17(p). (Filed after final action, a notice of allowance, on or before payment of issue fee)

Please charge to Deposit Account 12-0600 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 12-0600 pursuant to 37 CFR 1.25.

×]	Applicant(s) submit herewith Form PTO 1449 – Information Disclosure Citation
together '	with o	copies of patents, publications or other information of which applicant(s) are aware,
which ap	plica	nt(s) believe(s) may be material to the examination of the application and for
which the	ere m	ay be a duty to disclose in accordance with 37 CFR 1.56.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a

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search report or other action by a foreign patent office in a counter part foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith

It is requested that the information disclosed herein be made of record in this application

Respectfully submitted,

LATHROP & GAGE LLC

Curtis A. Vock, Reg. No. 38,356 4845 Pearl East Circle, Suite 300

Boulder, Colorado 80301 Tel No: (720) 931-3011 Fax No: (720) 931-3001

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TINFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

412746

(Use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number

			U.S. PATENT	DOCUMENTS		
Examiner	Cite No.1	Document Number Publics	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials *		Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document		
		US-2002/091513 A1	07-11-2002	Mozer, et al.		
		US- 5,444,673 A	08-22-1995	Maturin, et al.		
		US- 6,310,833 B1	10-30-2001	Guyett, et al.		
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	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	
Initials*		Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶		
		WO 97/15045 A	04-24-1997	Voice It Worldwide, Inc.		
		EP 0212759 A	03-04-1987	C. Van Der Lely N.V.		
		EP 0635771 A	01-25-1995	Citizen Watch Co. Ltd.		
		EP 0964331 A	12-15-1999	Huang, Hsien- Ting		
	<u> </u>					

Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Altinoid for document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.